



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANTS' INFORMATION DISCLOSURE
STATEMENT**

Serial No.: 10/032,726
Applicants: Richard E. Smalley et al.
Filing Date: December 28, 2001
Group: 1754
Atty. Docket No.: 11321-P012USD11

Reference Designation

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
PL AAA	5,698,175	12/16/1997	Hiura et al.	423	447.1	
ABA						
ACA						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
ADA						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

PL AEA

AJAYAN et al., "Opening carbon nanotubes with oxygen and implications for filing," *Nature*, Volume 362, pp. 522-525 (April 8, 1993).

Examiner:

Date Considered:

1/23/04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
PL ABA	EP 1 176 234 A2	12/05/1993	European			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

PL ACA LI, *et al.*, "Large-Scale Synthesis of Aligned Carbon Nanotubes," *Science*, Volume 274, December 6, 1996, pp. 1701-1703.

PL ADA LIU, *et al.*, "Fullerene Pipes," *Science*, Volume 280, May 22, 1998, pp. 1253-1256.

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